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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Nathaniel E. David

Application No./Patent No.: 10/718,499 Filed/Issue Date: November 19, 2003

Entitled: BUSINESS METHODS FOR COMMERCIALIZING ANTIBIOTICS

Achaogen, Inc.
(Name of Assignee)

a corporation
(Type of Assignee, e.g., corporation, partnership,
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above.
The assignment was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the
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1. From: Nathaniel E. David To: VVII NewCo 2003, Inc.

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2. From: VVII NewCo 2003, Inc. To: Achaogen, Inc.

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- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title noted in B above are
attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment
Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See
MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Date

Phillip A. Patten
Typed or printed name

6-23-05
Senior Vice President, Biology
Title

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Docket No. 110181,402

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